



MODIFICATION OF GRANT OR AGREEMENT

PAGE	OF PAGES
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1. U.S. FOREST SERVICE GRANT/AGREEMENT NUMBER: 18-DG-11100106-810	2. RECIPIENT/COOPERATOR GRANT or AGREEMENT NUMBER, IF ANY:	3. MODIFICATION NUMBER: 02
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4. NAME/ADDRESS OF U.S. FOREST SERVICE UNIT ADMINISTERING GRANT/AGREEMENT (unit name, street, city, state, and zip + 4): Faydra Lampshire U.S. Forest Service State and Private Forestry 161 1st Ave, Door 8 Anchorage, AK 99501-1639 (907) 743-9462 faydralampshire@fs.fed.us	5. NAME/ADDRESS OF U.S. FOREST SERVICE UNIT ADMINISTERING PROJECT/ACTIVITY (unit name, street, city, state, and zip + 4): Dan Parrent U.S. Forest Service State and Private Forestry 161 1st Ave, Door 8 Anchorage, AK 99501-1639 (907) 743-9467 djparrent@fs.fed.us
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6. NAME/ADDRESS OF RECIPIENT/COOPERATOR (street, city, state, and zip + 4, county): Arlene Weber-Sword Alaska Department of Natural Resources 550 West 7th, Suite 1450 Anchorage, AK 99501-3561 (907) 269-8471 arlene.weber-sword@alaska.gov	7. RECIPIENT/COOPERATOR'S HHS SUB ACCOUNT NUMBER (For HHS payment use only):
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8. PURPOSE OF MODIFICATION

CHECK ALL THAT APPLY:	This modification is issued pursuant to the modification provision in the grant/agreement referenced in item no. 1, above.
<input type="checkbox"/>	CHANGE IN PERFORMANCE PERIOD:
<input checked="" type="checkbox"/>	CHANGE IN FUNDING: Increase U.S. Forest Service funding by \$2,000,000 for a total of \$5,059,859
<input type="checkbox"/>	ADMINISTRATIVE CHANGES:
<input type="checkbox"/>	OTHER (Specify type of modification):

Except as provided herein, all terms and conditions of the Grant/Agreement referenced in 1, above, remain unchanged and in full force and effect.

9. ADDITIONAL SPACE FOR DESCRIPTION OF MODIFICATION (add additional pages as needed):

10. ATTACHED DOCUMENTATION (Check all that apply):

<input checked="" type="checkbox"/>	Revised Scope of Work
<input checked="" type="checkbox"/>	Revised Financial Plan
<input checked="" type="checkbox"/>	Other: Cover, SF-424, SF-424A, Budget narratives

11. SIGNATURES

AUTHORIZED REPRESENTATIVE: BY SIGNATURE BELOW, THE SIGNING PARTIES CERTIFY THAT THEY ARE THE OFFICIAL REPRESENTATIVES OF THEIR RESPECTIVE PARTIES AND AUTHORIZED TO ACT IN THEIR RESPECTIVE AREAS FOR MATTERS RELATED TO THE ABOVE-REFERENCED GRANT/AGREEMENT.

11.A. ALASKA DEPARTMENT OF NATURAL RESOURCES, DIVISION OF FORESTRY SIGNATURE 	11.B. DATE SIGNED 8/22/18	11.C. U.S. FOREST SERVICE SIGNATURE 	11.D. DATE SIGNED 8/22/18
(Signature of Signatory Official)		(Signature of Signatory Official) Michael Shephard	

11.E. NAME (type or print): JOHN "CHRIS" MAISCH	11.F. NAME (type or print): DEBBIE A. HOLLEN
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11.G. TITLE (type or print): State Forester	11.H. TITLE (type or print): Director, State & Private Forestry Pacific Northwest and Alaska Regions
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USDA Forest Service

OMB 0596-0217
FS-1500-19

18-DG-11100106-810, Modification 2

12. G&A REVIEW

12.A. The authority and format of this modification have been reviewed and approved for signature by:

Faydra Lampshire
FAYDRA LAMPSHIRE

U.S. Forest Service Grants & Agreements Specialist

12.B. DATE
SIGNED

8/21/18



THE STATE
of **ALASKA**
GOVERNOR BILL WALKER

Department of Natural Resources

DIVISION OF FORESTRY

550 West 7th Suite 1450

Anchorage, AK 99501

Main: 907.269-8463

Fax: 907.269-8421

August 10, 2018

Faydra Lampshire
Grants Management Specialist
USDA Forest Service
Region 6 & 10
161 East 1st Avenue
Anchorage, AK 99501

Dear Faydra Lampshire:

Please accept the State of Alaska, Division of Forestry's application for Modification 2, Federal 2018 Cooperative Forestry Grant/ Consolidated funding in the amount of \$2,000,000.00 as stated in the letter to John "Chris" Maisch dated August 9th, 2018. This application includes the additional funding offered under State Fire Assistance.

Sincerely,

A handwritten signature in blue ink, appearing to read "Arlene Weber-Sword".

Arlene Weber-Sword
Fire Staff Officer

Enclosure

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Revision	* If Revision, select appropriate letter(s): A: Increase Award * Other (Specify):
* 3. Date Received:	4. Applicant Identifier:	
5a. Federal Entity Identifier:	5b. Federal Award Identifier:	
6. Date Received by State:	7. State Application Identifier: 399170	
8. APPLICANT INFORMATION:		
* a. Legal Name: State of Alaska		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 92-600185	* c. Organizational DUNS: 1111880790	
d. Address:		
* Street1: 550 West 7th Ave Suite 1450	Street2:	
* City: Anchorage	County/Parish:	
* State: AK: Alaska	Province:	
* Country: USA: UNITED STATES	* Zip / Postal Code: 99501-3561	
e. Organizational Unit:		
Department Name: Natural Resources	Division Name: Forestry	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix:	* First Name: Arlene	
Middle Name:	* Last Name: Weber-Sword	
Suffix:	Title: Fire Staff Officer	
Organizational Affiliation:		
* Telephone Number: 907-269-8471	Fax Number: 907-269-8471	
* Email: arlene.weber-sword@alaska.gov		

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*** Other (specify):**

*** 10. Name of Federal Agency:**

USDA Forest Service

11. Catalog of Federal Domestic Assistance Number:

10.664

CFDA Title:

Cooperative Forestry Assistance

*** 12. Funding Opportunity Number:**

N/A

*** Title:**

N/A

13. Competition Identification Number:

N/A

Title:

N/A

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Forest Stewardship, Forest Health, Urban & Community Forestry, State Fire Assistance, Volunteer Fire Assistance

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="2,000,000.00"/>
* b. Applicant	<input type="text" value="2,000,000.00"/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="4,000,000.00"/>

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

BUDGET INFORMATION - Non-Construction Programs

OMB Number: 4040-0006
Expiration Date: 06/30/2014

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Cooperative Forestry-SFA	10.664	\$	\$	\$ 2,000,000.00	\$	\$ 2,000,000.00
2. State Match					2,000,000.00	2,000,000.00
3.						
4.						
5. Totals		\$	\$	\$ 2,000,000.00	\$ 2,000,000.00	\$ 4,000,000.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) Cooperative Forestry-SFA	(2) State Match	(3)	(4)	
a. Personnel	\$ 250,000.00	\$ 293,775.00	\$	\$	\$ 543,775.00
b. Fringe Benefits					
c. Travel	0.00				
d. Equipment	0.00				
e. Supplies	0.00				
f. Contractual	1,706,225.00	1,706,225.00			3,412,450.00
g. Construction	0.00				
h. Other					
i. Total Direct Charges (sum of 6a-6h)	1,956,225.00	2,000,000.00			\$ 3,956,225.00
j. Indirect Charges	43,775.00				\$ 43,775.00
k. TOTALS (sum of 6i and 6j)	\$ 2,000,000.00	\$ 2,000,000.00	\$	\$	\$ 4,000,000.00
7. Program Income	\$ 0.00	\$ 0.00	\$	\$	\$

SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program		(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.	Cooperative Forestry	\$ 2,000,000.00	\$	\$	\$ 2,000,000.00
9.					
10.					
11.					
12. TOTAL (sum of lines 8-11)		\$ 2,000,000.00	\$	\$	\$ 2,000,000.00

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 1,000,000.00	\$	\$	\$ 500,000.00	\$ 500,000.00
14. Non-Federal	\$ 1,000,000.00			\$ 500,000.00	\$ 500,000.00
15. TOTAL (sum of lines 13 and 14)	\$ 2,000,000.00	\$	\$	\$ 1,000,000.00	\$ 1,000,000.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program		FUTURE FUNDING PERIODS (YEARS)			
		(b) First	(c) Second	(d) Third	(e) Fourth
16.	Cooperative Forestry	\$	\$ 500,000.00	\$ 500,000.00	\$
17.					
18.					
19.					
20. TOTAL (sum of lines 16 - 19)		\$	\$ 500,000.00	\$ 500,000.00	\$

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges: \$1,956,225	22. Indirect Charges: \$43,775
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23. Remarks: Indirect on personnel services only. Fringe benefits included in personnel services

Proposal
FY 18 CPG Modification # 2
Federal # FY18DG-11100106-810 / State #399170

Purpose of the Project:

The Governor of the State of Alaska submitted a petition (per the requirements of § 553 of the Administrative Procedure Act) to the Secretary of Agriculture, seeking exemption from the nationwide rules governing management of inventoried roadless areas on the Tongass National Forest in Alaska. On June 1, 2018, the Secretary of Agriculture directed the Chief of the Forest Service to initiate a state-specific rulemaking for roadless management direction for the Tongass National Forest in Alaska on behalf of the Department. In accordance with the Council on Environmental Quality regulations (40 C.F.R. §§ 1501.6, 1508.5), the State of Alaska is hereby established as a cooperating agency in the preparation of analysis and documentation under the National Environmental Policy Act ("NEPA") associated with this rulemaking. The state-specific rulemaking process will include publishing a proposed rule for public review and comment and preparing an Environmental Impact Statement. For this project, the applicable "inventoried roadless areas" are those in Alaska that are described and defined in the 2001 Roadless Area Conservation Rule (66 FR 3243, 3272, Jan. 12, 2001), and "Alaska roadless areas" are those in Alaska that an Alaska-specific roadless rule would apply. It is anticipated that mutual agreement will be reached regarding an Alaska roadless area database for those roadless areas in Alaska prior to the development of specific regulatory language.

The State and the Forest Service cooperatively shall:

Establish a State-Forest Service Executive Steering Committee to coordinate the various aspects of implementation of the rulemaking process and the State and Forest Service involvement in NEPA Interdisciplinary teams;

Establish and maintain clear lines of communication, working through the principal contacts;

Cooperate in the development of specific regulatory language for a proposed state-specific rule to establish management direction for Alaska roadless areas;

Jointly develop a detailed work plan that sets out required action steps, milestones, and time frames associated with all aspects of this rulemaking effort;

Cooperate in the preparation of analyses and documentation, development of the description of the proposed action and any alternatives, and the compilation of any required ecological, social, and economic resource information associated with this state-specific roadless rulemaking; and

Coordinate the development of the proposed state rule with the existing land management planning efforts in progress within the State of Alaska.

Budget

\$250,000 in personnel services to be utilized by DOF senior management proportionally to their involvement in the project.

\$43,775 indirect charge on personnel services @ 17.51%.

\$1,706,225 in contractual expenses to convene and facilitate a group with a diverse mix of state-specific interests to inform the State's input as a cooperating agency in the rule making process.

From: [Lampshire, Faydra A -FS](#)
To: [Grover, LuAnn -FS](#); [Shephard, Michael - FS](#)
Cc: [Selby, Cathleen A -FS](#)
Subject: RE: AK DOF FY18 CPG MOD2 Application Submittal -as Dan Parrent is out of the office..
Date: Monday, August 20, 2018 12:07:37 PM
Attachments: [image001.png](#)
[image002.png](#)
[image003.png](#)
[image004.png](#)

LuAnn,

I can attach this email to the award as your approval.

Michael,

I am going to add you to act as the Pay Approver going forward since Luann and Dan are both out of town. Thank you!.

Faydra

From: Grover, LuAnn -FS
Sent: Monday, August 20, 2018 10:50 AM
To: Shephard, Michael - FS <msheward@fs.fed.us>; Lampshire, Faydra A -FS <faydralampshire@fs.fed.us>
Cc: Selby, Cathleen A -FS <cselby@fs.fed.us>
Subject: FW: AK DOF FY18 CPG MOD2 Application Submittal -as Dan Parrent is out of the office..

I am in agreement with this modification request.

Do I need to print, sign, and scan back? I am at the Cougar Creek Fire, and we are scanning challenged right now. However, I have an alternate plan if I need to do this?

Thank you.



LuAnn Grover
Cooperative Fire Program Manager
Forest Service
Fire and Aviation Management

c: 503-313-2784
lgrover@fs.fed.us

1220 SW 3rd Avenue, SW
Portland, Oregon 97204



Caring for the land and serving people

From: Selby, Cathleen A -FS **On Behalf Of** FS-SPF R6 & 10 periodic reports
Sent: Monday, August 20, 2018 10:35 AM
To: Shephard, Michael - FS <mshephard@fs.fed.us>; Lampshire, Faydra A -FS <faydralampshire@fs.fed.us>
Cc: FS-SPF R6 & 10 periodic reports <spf-reports@fs.fed.us>; Comas, Sara J -FS <scomas@fs.fed.us>; Lang, Judy M -FS <jang@fs.fed.us>; Grover, LuAnn -FS <lgrover@fs.fed.us>
Subject: RE: AK DOF FY18 CPG MOD2 Application Submittal -as Dan Parrent is out of the office..

I think you were tagged for this as Dan is out of the office
The mod is for State fire assistance... I'm ccing Luann for her review of the pkg

Thank you,
-Cathy



Cathleen Selby
Office Assistant
Forest Service
Region 6 RO SPF

p: 503-808-2692
f: 503-808-2339
cselby@fs.fed.us

1220 SW Third Ave
Portland, OR 97204
www.fs.fed.us



Caring for the land and serving people

From: Shephard, Michael - FS
Sent: Saturday, August 18, 2018 3:15 PM
To: Lampshire, Faydra A -FS <faydralampshire@fs.fed.us>
Cc: FS-SPF R6 & 10 periodic reports <spf-reports@fs.fed.us>; Comas, Sara J -FS <scomas@fs.fed.us>; Lang, Judy M -FS <jang@fs.fed.us>
Subject: RE: AK DOF FY18 CPG MOD2 Application Submittal
Importance: High

Faydra, I am a bit confused since the Modification paperwork hasn't been signed yet by the state. Or

what am I missing?? I can certainly fill out the grant cover sheet.
Sincerely, Michael

From: Lampshire, Faydra A -FS
Sent: Friday, August 17, 2018 11:18 AM
To: Shephard, Michael - FS <mshephard@fs.fed.us>
Cc: FS-SPF R6 & 10 periodic reports <spf-reports@fs.fed.us>; Comas, Sara J -FS <scomas@fs.fed.us>;
Lang, Judy M -FS <jlang@fs.fed.us>
Subject: FW: AK DOF FY18 CPG MOD2 Application Submittal

Please review and sign and fill out the new grant cover sheet that is attached. Thanks!

From: Comas, Sara J -FS
Sent: Friday, August 17, 2018 11:04 AM
To: Lampshire, Faydra A -FS <faydralampshire@fs.fed.us>
Subject: RE: AK DOF FY18 CPG MOD2 Application Submittal

Not sure if you wanted me to send these back but here you go....

From: Lampshire, Faydra A -FS
Sent: Friday, August 17, 2018 1:45 PM
To: Comas, Sara J -FS <scomas@fs.fed.us>
Subject: FW: AK DOF FY18 CPG MOD2 Application Submittal

Found it!

From: Weber-Sword, Arlene D (DNR) [<mailto:arlene.weber-sword@alaska.gov>]
Sent: Friday, August 10, 2018 12:35 PM
To: FS-SPF R6 & 10 periodic reports <spf-reports@fs.fed.us>
Cc: Lampshire, Faydra A -FS <faydralampshire@fs.fed.us>; Eleazer, Jim A (DNR) <jim.eleazer@alaska.gov>; Maisch, John C (DNR) <chris.maisch@alaska.gov>; Shephard, Michael - FS <mshephard@fs.fed.us>
Subject: AK DOF FY18 CPG MOD2 Application Submittal

Attached

*Arlene Weber-Sword
Fire Staff Officer
Division of Forestry
550 West 7th Suite 1450
Anchorage, AK 99501
Office- 907-269-8471
Cell -907-240-7222*